

Preventing and Remedying Race, Color, and National Origin Discrimination in Schools:

A PRIMER ON TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

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Introduction

"This Civil Rights Act is a challenge to all of us to go to work in our communities and our states, in our homes and in our hearts, to eliminate the last vestiges of injustice in our beloved country."

- President Lyndon B. Johnson July 2, 1964

Radio and Television Remarks Upon Signing the Civil Rights Bill¹

Every school in the United States—public or private—that receives federal financial assistance is required to comply with federal civil rights laws, including Title VI of the Civil Rights Act of 1964 (Title VI). Title VI protects all students from discrimination² based on race, color, or national origin.³ This means that students across America have a federally protected right to receive an education in a school that does not permit discrimination against them, regardless of whether they are, for instance, a Black student in a rural town in the Midwest, a White student in Los Angeles, a student in South Carolina who immigrated without legal documentation, or any other combination of race, color, or national origin in any locale.

Widespread racial and national origin-based disparities in educational opportunities exist across the nation, including in areas such as teacher quality, access to rigorous courses, access to technology, and funding.⁴ Creating an educational environment free of discrimination based on race, color, or national origin requires states, districts, and schools to plan and implement professional development to help ensure that all education leaders, including teachers and staff, are aware of and comply with Title VI. Education leaders should also engage in a proactive and comprehensive review of state, district, and school policies and practices to ensure that they comply with Title VI.

Title VI protects all students from discrimination based on race, color, or national origin in schools that receive federal financial assistance.

Schools serve as engines of our nation's democracy, society, and economy, preparing students to be college and career ready and engaged civic participants. For schools to meet these aims, educators must create schools and districts that provide equal educational opportunities so that all students have full access to all of the benefits and programs in their schools. Our nation's civil rights laws aim to ensure that equal educational

opportunities are not merely an aspirational goal but also the lived reality of all students.

Offering an education that is free of discrimination is one of the foundational ways to promote a high-quality education for all.

At the Education Rights Institute (ERI), we aim to increase public understanding of the wide applicability of Title VI's nondiscrimination requirements in education through explanatory Title VI reports, videos, and other resources. Increasing understanding of Title VI can help state, district and school leaders fulfill their legal obligations to remedy discrimination in ways that increase access to a high-quality education for all students. Given the breadth and importance of Title VI, education stakeholders must collaborate to ensure that each and every student can attend a school without discrimination based on race, color, and national origin.

In this primer, we begin by explaining Title VI's requirements. Then, we look at the history and purpose of Title VI to understand why the law was enacted. The subsequent section covers Title VI enforcement both at the judicial and administrative level. This includes the Title VI complaint, compliance review, and investigation processes as well as the consequences for failing to comply. Finally, we discuss why education leaders should prioritize Title VI compliance and monitoring. We conclude with ERI's role in this work.

Title VI of the Civil Rights Act of 1964 Requirements

Title VI of the Civil Rights Act of 1964 states that:

No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.⁶

The penalty for failing to comply with Title VI is the potential loss of federal funding, in addition to the time-consuming investigation and monitoring process and possible court litigation. To clarify Title VI compliance requirements, Congress authorized federal agencies to develop and implement regulations specific to the entities they fund. The U.S. Department of Education (ED) enacted regulations to establish standards for determining compliance with Title VI for educational institutions.

The implementing regulations outline the types of discrimination prohibited under Title VI, including racial harassment, permitting a hostile environment, segregation, and differential treatment. ED prohibits any other type of race, color, or national origin discrimination that negatively affects the intended beneficiaries of its funding. Programs or activities subject to these regulations and the nondiscrimination requirements of Title VI include, but are not limited to: 11

Academic Programs	Admissions	Athletics	Classroom Assignment	Discipline
Employment	Financial Aid	Grading	Guidance Counseling	Housing
Physical Education	Recreation	Recruitment	Student Treatment & Services	Vocational Education

All agencies and institutions that receive funds from ED are required to comply with Title VI, including all 50 state education agencies, over 18,000 local education systems, approximately 6,000 postsecondary institutions, and 78 state vocational rehabilitation agencies and their sub-recipients. If any school in a district receives federal funding, the entire district and its schools are subject to Title VI and its prohibitions. This discrimination ban applies to all of an entity's schools, programs, and activities, not just the portions that

receive federal aid. ¹⁵ Congress specified that Title VI's reach encompasses "all of the operations" of the school, including "traditional educational operations, faculty and student housing, campus shuttle bus service, campus restaurants, the bookstore, and other commercial activities." ¹⁶

Title VI also prohibits retaliation against any individual who brings concerns about possible civil rights violations to a school's attention or who files a complaint, testifies, or participates in any manner in a federal Title VI investigation or proceeding. ¹⁷

Title VI's ban on national origin discrimination requires schools to ensure that students who are English learners receive meaningful academic instruction as well as equal,

- Race: the idea that the human species is divided into distinct groups on the basis of inherited physical and behavioral differences. Race is a social construct.
- Color: the primary physical criterion by which people have been classified into groups in the Western scientific tradition.
- National Origin: a person's birthplace, ancestry, culture, or language.¹²

meaningful access to all school programming and services. ¹⁸ This protection further requires schools to make sure parents and guardians have meaningful access to all school communications and information regarding their student(s) in a language they understand. ¹⁹ Meaningful access includes not only free oral and written translation services, but also access that is "not significantly restricted, delayed, or inferior" to that of peer students so that English learners also have an equal opportunity to learn. ²⁰

The Office for Civil Rights (OCR) at ED enforces Title VI compliance in publicly funded educational institutions, but it does not bear this responsibility alone. ²¹ In addition to litigating on behalf of the United States to enforce Title VI, the U.S. Department of Justice (DOJ) oversees the coordination, implementation, and enforcement of Title VI regulations across all federal agencies. ²² This means that DOJ has the authority to review and approve each federal agency's Title VI policies. ²³ It may also offer technical assistance to ensure proper procedures and compliance. ²⁴ If a person cannot determine with which federal agency to file a Title VI complaint, the individual may file the complaint with DOJ who will refer the complaint to the appropriate agency. ²⁵ DOJ may also participate in direct investigations.

To better understand Title VI and the federal government's enforcement of this law, it is helpful to look at the Civil Rights Act's origins and the rationale behind its passage.

The History and Purpose of Title VI

"Simple justice requires that public funds, to which all taxpayers of all races contribute, not be spent in any fashion which encourages, entrenches, subsidizes or results in racial discrimination. Direct discrimination by Federal, State or local governments is prohibited by the Constitution. But indirect discrimination, through the use of Federal funds, is just as invidious; and it should not be necessary to resort to the courts to prevent each individual violation."

- President John F. Kennedy June 19, 1963

Special Message to the Congress on Civil Rights & Job Opportunities²⁶

To fully appreciate why lawmakers enacted Title VI, it is important to reflect on the Reconstruction Era that followed the U.S. Civil War's end in 1865, which resulted in the ratification of the Thirteenth, ²⁷ Fourteenth, ²⁸ and Fifteenth ²⁹ Amendments. These amendments and other federal laws aimed to provide a new set of rights for Black Americans and formerly enslaved people by outlawing their enslavement and subordination. ³⁰ Unfortunately, many federal, state, and local governments, as well as private individuals, rebelled against these efforts to provide the same rights to Black people that their White peers already exercised. Communities adopted a complex web of discriminatory laws and policies, called Jim Crow, which aimed to return Black people to a subordinate status in society. ³¹

One of Jim Crow's central tenets included separation of Black and White Americans in all areas of life, including schools, neighborhoods, housing, public accommodations, and transportation.³² Repeated acts of violence provided another hallmark of Jim Crow and included lynching and other forms of intimidation. Federal, state, and local governments and private individuals worked in concert to make Jim Crow a way of life that sought to erase and undermine the progress and legal protections from Reconstruction. Private actors contributed to these efforts through individual and collective actions of segregation, discrimination, and violence.³³

Jim Crow claimed to provide "separate but equal" opportunities for Black and White people, but the Black community's lived reality revealed that equal opportunities were not afforded. Instead, Black Americans had to "stay in their place" or risk losing their property, livelihood, lives, or all three.³⁴

Jim Crow had devastating impacts on the educational opportunities of Black students and other students of color. The laws and policies of this era reflected many White people's embrace of inequality in every area of American schools and systems, including facilities, teacher quality and pay, learning resources such as textbooks and supplies, and the length

of the school day and year.³⁵ As leading social scientist Rucker Johnson explains in his 2019 book, *Children of the Dream: Why School Integration Works*:

Segregation led to dramatic disparities in the school resources available to black and white children as far back as data are available between, 1900 and 1950. In 1940, for example, black schools in South Carolina had more than forty students per teacher, on average, while white schools had fewer than thirty. In Atlanta during the 1949–1950 school year, the city spent twice as much on white students as it did on African American ones. These differences account for a significant share of the vast racial differences in child literacy and numeracy during the Jim Crow era. 36

The U.S. Supreme Court approved Jim Crow's "separate but equal" scheme in the 1896 case *Plessy v. Ferguson*.³⁷ The *Plessy* ruling upheld the constitutionality of segregation in public accommodations.³⁸ This meant that business owners and government officials could require individuals from different races to remain separated as long as the segregated facilities were substantially equal.³⁹ Unfortunately, the "equal" part of "separate but equal" was rarely enforced.⁴⁰ Indeed, the Supreme Court signaled that "equal" resources did not have to be provided in *Cumming v. Richmond County Board of Education*.⁴¹ In that case, the Court upheld the constitutionality of a high school for White students in Richmond County, Georgia, even though the County only provided Black children a primary school education.⁴²

The Supreme Court finally acknowledged that separate schools were "inherently unequal" and unconstitutional under the Fourteenth Amendment's Equal Protection Clause in the 1954 landmark *Brown v. Board of Education* decision, ⁴³ rejecting *Plessy v. Ferguson*. The Court in *Brown* recognized that even if factors such as facilities were equal, segregation inflicted substantial harms on Black children ⁴⁴ One year later, the Court instructed school districts to begin to desegregate in the second *Brown v. Board of Education* case, known as *Brown II*. However, the Court simultaneously invited delay in ending segregation by instructing school districts that they could proceed "with all deliberate speed," which translated to their own slow pace, rather than requiring immediate desegregation. ⁴⁵ Subsequent rulings confirmed that the Constitution requires equality not only in public education facilities, but also in student, teacher, and staff assignments, extracurricular activities, busing, facilities, and quality of education. ⁴⁶

Though *Brown* and its progeny outlawed segregation and discrimination in schools, many states and school districts continued to resist offering equal opportunities to non-White students. For instance, the state of Virginia led the South in an effort to defy *Brown* with the 1954 development of the "Massive Resistance" strategy.⁴⁷ Under Massive Resistance, tactics to maintain segregated schools included revoking funding, closing schools, student assignment laws, and "freedom of choice" plans.⁴⁸ The Court's equivocal response to resistance too often tolerated or failed to intervene to outlaw such efforts unless they involved outright defiance of *Brown*.⁴⁹ Massive Resistance was so successful that a decade after *Brown*, under two percent of Black students went to school with White students.⁵⁰

In this contentious climate, as civil rights advocates expressed growing concern regarding the slow, piecemeal efforts to desegregate schools following *Brown*, President John F. Kennedy presented Title VI as a more efficient tool to desegregate America's schools and other publicly funded programs. ⁵¹ President Kennedy explained that "indirect

discrimination, through the use of Federal funds, is just as invidious" as direct discrimination. Notably, President Kennedy recommended that Congress grant authority to the federal government through DOJ to act on behalf of the American people by suing school districts that refused to adequately desegregate, removing the burden from private citizens, and providing technical and financial assistance to schools engaged in the school desegregation process through the Civil Rights Act. Lead author of the Civil Rights Act, then-Senator and future Vice President Hubert Humphrey, shared President Kennedy's view on Title VI's potential to improve America's schools, stating that, "Title VI would have a substantial and eminently desirable impact on programs of assistance to education It is not expected that funds would be cut off so long as reasonable steps were being taken in good faith to end unconstitutional segregation." 55

On June 11, 1963, in a nationally televised address, President Kennedy asked all Americans to take affirmative steps to deliver on the nation's founding principle that "all men are created equal" by treating everyone they encounter in their daily lives equally, regardless of race, and by supporting civil rights legislation that would protect voting rights, access to public accommodations, nondiscrimination in employment, nondiscrimination in federally

"[T]itle VI is simply designed to insure that Federal funds are spent in accordance with the Constitution and the moral sense of the Nation. Moreover, the purpose of Title VI is not to cut off funds, but to end racial discrimination."

- Senator Hubert Humphrey

March 30, 1964⁵²

assisted programs, and desegregated educational opportunities. ⁵⁶ President Kennedy's legislative proposal culminated from the heroic efforts of civil rights leaders like Dr. Martin Luther King, Jr., ⁵⁷ Septima Poinsette Clark, ⁵⁸ Fred D. Gray, Sr., ⁵⁹ John Lewis, ⁶⁰ Mae Mallory, ⁶¹ Constance Baker Motley, ⁶² Jack Greenberg, ⁶³ and many other recognized and unsung heroes who protested against the entrenched

system of Jim Crow laws, which promoted segregation, discrimination, and violence towards people of color. Despite strong opposition from Southern legislators and President Kennedy's assassination in November 1963, the Civil Rights Act of 1964 passed both houses of Congress with bipartisan support.⁶⁴ President Lyndon Johnson signed the Civil Rights Act of 1964 into law on July 2, 1964.⁶⁵

Title VI not only cemented into federal law a ban on discrimination based on race, color, or national origin in federally funded programs, consistent with the guarantees of the U.S. Constitution, but it also sought to ensure that the national government does not directly or indirectly finance discriminatory acts. ⁶⁶ Regarding the broader impact of the legislation, then-Senator Humphrey articulated that, "[T]itle VI is simply designed to insure that Federal funds are spent in accordance with the Constitution and the moral sense of the Nation. Moreover, the purpose of Title VI is not to cut off funds, but to end racial discrimination." ⁶⁷ Many federal agencies already had the authority to prohibit such discrimination as a condition of their funding before Title VI's enactment, but some resisted utilizing this authority. ⁶⁸ Title VI charged all federal agencies to act by instructing them to create their own regulations, rules, and orders to accomplish the goals of the law. ⁶⁹ Title VI also

overturned federal laws that permitted funding segregated institutions. 70 The federal government's enforcement of Title VI played a critical role in propelling school desegregation efforts throughout the nation. 71 Title VI remains an important tool to address school segregation and other forms of discrimination that continue to persist. 72

Title VI Prohibitions: Disparate Treatment and Disparate Impact Discrimination

Title VI's prohibition against discrimination on the basis of race, color, or national origin not only covers actions and policies that are intentionally discriminatory, but also actions and policies by a recipient of federal funds that have a discriminatory effect. It is critical for state, district, and school leaders to understand both types of discrimination so that they can fully and effectively comply with Title VI's nondiscrimination mandate. Below, we explain both disparate treatment (intentional discrimination) and disparate impact (discriminatory effect, regardless of intent).

Disparate Treatment

Title VI, Section 601 bars intentional discrimination, referred to as different or disparate treatment. Disparate treatment claims under Title VI allege that the recipient of federal funds "intentionally treated persons differently or otherwise knowingly caused them harm because of their [actual or perceived] race, color, or national origin. Discriminatory intent still violates Title VI even if it was not the sole motivation for the actions or policies. As long as a recipient of federal funds acted, at least in part, with intent to harm an individual or group because of their race, color, or national origin, a Title VI violation may exist.

Disparate treatment can be proven in one of two ways: (1) direct evidence—an action or policy that expressly discriminates on the basis of race, color, or national origin and then is used to discriminate⁷⁷—or (2) circumstantial evidence—indirect evidence that suggests that discriminatory intent was more likely than not the motive.⁷⁸ Examples of circumstantial evidence include:

- Statements by decision-makers,
- Sequence of events leading to the decision at issue,
- Legislative or administrative history,
- Departure from normal policy and procedure,
- Past history of discrimination or segregation,
- Statistics demonstrating a pattern unexplainable on grounds other than discriminatory ones, and
- Comparative evidence of more favorable treatment toward similarly situated individuals not sharing the protected characteristic.⁷⁹

It is important to note that the intent to discriminate need not be malicious.80

When evaluating disparate treatment, once discriminatory intent is shown, the burden of proof⁸¹ shifts to the allegedly discriminating entity, meaning that those who are accused of the discriminating action must prove that their actions are narrowly tailored to achieve a compelling government interest. Courts utilize the highest judicial scrutiny, known as strict

scrutiny, to evaluate whether a discriminatory policy or practice is lawful.⁸² Under strict scrutiny, once a party demonstrates that a policy is intentionally discriminatory, the policy is presumed to be unlawful, unless the allegedly discriminating entity can prove that it acted in accordance with a compelling governmental interest and that the conduct was narrowly tailored to achieve that interest.⁸³ If the entity cannot provide a justification that meets strict scrutiny's high burden of proof, then the action will be found to be unlawful and in violation of Title VI.

OCR, DOJ, and private parties can invoke Title VI protections when an alleged instance of disparate treatment occurs. Table 1 explains two examples of recent complaints that were investigated due to claims of disparate treatment.

Table 1. Examples of Disparate Treatment Investigations

Case Profile	Case Facts
Type of Investigation: Complaint ⁸⁴ School District: Deer Park Independent School District State: TX Title VI Area of Concern: Denial of Benefits Date Resolved: 9/12/2022 Type of Resolution: Resolution Agreement	 OCR investigated whether the District discriminated against students based on their national origin by requiring parents to present a Social Security card and birth certificate to enroll their children in school. The complaint explained that families with mixed immigration status who do not have Social Security numbers may be deterred from enrolling their student(s), even though children in America are entitled to an elementary and secondary education regardless of citizenship. OCR expressed concerns regarding the enrollment requirements. The District claimed it never denied enrollment to or unenrolled any student due to failure to provide a Social Security card or birth certificate and that they already amended its enrollment requirements to allow state-assigned numbers in lieu of Social Security cards. However, OCR determined that the District's former policy still resulted in national origin discrimination in violation of Title VI because it likely deterred immigrant families from enrolling their children in school. The District requested to resolve the matter prior to the conclusion of OCR's investigation. In the Resolution Agreement, the District committed to revise its enrollment policy to ensure that requested documentation does not have the effect of barring or deterring enrollment of students based on the national origin of the students or their parents/guardians. In addition, the District agreed to prepare a public statement in both English and Spanish regarding the revised enrollment policy targeted to reach parents and guardians who may have previously been discouraged from enrolling their children. The District also must provide training to District staff on Title VI's prohibition against discrimination based on race, color, or national origin with respect to student enrollment, which shall include information regarding the District's revised enrollment policy, with an emphasis on any changes to the requirements for demonstrating proof of age and

Case Profile	<u>Case Facts</u>
Type of Investigation: Complaint ⁸⁵ School District: Boulder Valley School District State: CO Title VI Area of Concern: Differential Treatment/Denial of Benefits Date Resolved: 7/28/2021 Type of Resolution: Resolution Agreement	OCR investigated whether "Hispanic, Black, and Native Americal students were not being properly evaluated for learning disabilities compared to similarly situated White students due their race, color, or national origin. "The District's approach requires school-based staff to consult with central office specific education staff or MTSS coordinators ("Coordinators") – who [were] not bilingual, who [did] not possess expertise in English learner students or second language acquisition, and who [we not familiar with the specific students at issue – regarding evaluations and eligibility determinations for Hispanic, Black, Native American students." Whereas school-based staff were allowed to make their own special education determination regarding evaluations and interventions for White students, or the Coordinators in the District's central office could make special education determinations for "Hispanic (or Latino), African American, American Indian, or Alaska Native" students This policy led to "Hispanic (or Latino), African American, American Indian, or Alaska Native students having to endure longer evaluation process, delaying the provision of services for the coordinators of the provision of services for the provisi
	 students who may have needed additional support. The District denied the allegations and stated it had been working for two years with the Colorado Department of Education to refine its practices to address the identified racial and national origin disproportionality in identifying Latinx students with disabilities.
	• The Resolution Agreement requires the District to, among other things: conduct a self-audit to determine whether any "Hispanic (or Latino), African American, American Indian, or Alaska Native" student "did not have timely, appropriate disability-related evaluations, eligibility determinations, or placement decisions, or were improperly exited from special education" since August 2020, and determine if any improperly served student is entitled to compensatory services or other remedial measures; train relevant staff about Section 504, Title II, and discriminatory different treatment under Title VI; and, "maintain all documentation related to complaints or grievances filed – orally or in writing – by parents or guardians regarding improperly not evaluating, evaluating, placing, or exiting any Hispanic (or Latino), African American (or Black), American Indian (or Native American), or Alaska Native students "

Disparate Impact

Section 602 of Title VI requires each federal agency that distributes federal funding to create regulations and rules necessary to accomplish the goals of Section 601 of Title VI.86 Consistent with this mandate, ED issued a regulation prohibiting disparate impact discrimination.87 Federal agencies such as ED outlawed disparate impact discrimination as part of their enforcement of the nondiscrimination prohibition in Title VI because, as DOJ articulated, "[f]requently discrimination results from policies and practices that are neutral on their face but have the effect of discriminating."88 It is noteworthy that federal agencies enforcing Title VI uniformly included disparate impact discrimination among their prohibited actions, which reveals that federal agencies believe that prohibiting disparate treatment discrimination alone provided insufficient protection from discrimination.89

Disparate impact discrimination occurs when a recipient of federal funding "has an otherwise neutral policy or practice that has a disproportionate and adverse effect on individuals of a certain race, color, or national origin, as compared to individuals of a different race, color or national origin." ⁹⁰ Federal agencies' disparate impact regulations "task agencies to take a close look at neutral policies that disparately exclude minorities from benefits or services, or inflict a disproportionate share of harm on them." ⁹¹ The disparate impact regulation applies when anyone experiences a disproportionate harm based on race, including White students. ⁹² Disparate impact investigations focus on results rather than intent. ⁹³

Federal courts established a three-part test to determine whether disparate impact discrimination occurred in violation of Title VI.94 First, the court or federal agency investigating the matter must determine whether the adverse outcome of the policy or practice at issue disproportionately falls on a group based on race, color, or national origin.95 If a harm is found to disproportionately impact a group protected by Title VI, the entity in question must show that an "educational necessity" exists for the policy or practice. Even if the entity demonstrates an educational necessity for the policy or practice, a Title VI violation may still be found, unless the entity proves that no alternative could have achieved the same goal with less of a discriminatory impact.96 Table 2 provides examples of disparate impact investigations.

Table 2. Examples of Disparate Impact Investigations

Table 2. Examples of Disparate Impact Investigations		
<u>Case Profile</u>	<u>Case Facts</u>	
Type of Investigation: Complaint ⁹⁷ School District: Red Clay Consolidated School District	The Delaware Public Education Ombudsman filed a complaint on behalf of a student and her family alleging that she was subjected to harassment by classmates on the basis of national origin (shared Jewish ancestry).	
State: DE Title VI Area of Concern: Racial Harassment/Hostile Environment Date Resolved: 1/29/2024 Type of Resolution: Resolution Agreement	OCR examined records submitted by the District and the Complainant during its investigation. These records included the Student Code of Conduct, disciplinary reports, witness statements regarding the alleged harassment, reports on bullying, email correspondence, suspension notices, and information about additional alleged harassment incidents. In addition, OCR conducted interviews with three teachers, the Assistant Principal, the School Dean, and the Deputy Superintendent.	
	OCR determined that other students subjected the student at issue to harassment that created a hostile environment based on her national origin (shared Jewish ancestry), including performing the "Heil Hitler" salute in the presence of the Student and drawing a swastika on her desk. OCR identified the following concerns regarding the District's response to the reported harassment: (a) Although the District made an effort to respond to most of the incidents of harassment experienced by the student, OCR was concerned that the District's miscoding of each incident of harassment, its failure to address repeat offenders effectively and its failure to timely implement a safety plan for the harassed student may have led to a continuing hostile environment; (b) The District also failed to keep sufficient records of its inquiries into additional instances of harassment which may have prevented the District from identifying whether a hostile environment existed for other students as well and from "taking timely, reasonable, and effective steps to eliminate any hostile environment."	
	• The District signed a Resolution Agreement to address the concerns OCR identified. The Agreement requires the District to, among other things: offer to reimburse the student's parents for past academic, counseling, or therapeutic services the student required as a result of the harassment; review its policies and procedures to ensure that they appropriately address Title VI's prohibition on discrimination, including discrimination based on a student's actual or perceived shared ancestry or ethnic characteristics; revise or develop procedures for documenting harassment investigations; train all administrators, faculty, and staff at the school annually on Title VI's prohibition of discrimination and on investigating discrimination complaints; provide an age-appropriate student informational program to address discrimination; conduct an audit of all complaints received during the 2023-2024 school year to assess the application of internal policy and procedure related to Title VI violations; conduct an audit of all incidents at the school coded as "Inappropriate Behavior" and "Abusive Language/Gestures" during the 2021-2022 and 2022-2023 school years to determine if any of the incidents were actually miscoded Title VI violations;	

Case Profile	<u>Case Facts</u>
Type of Investigation: Compliance Review ⁹⁸ School District: Winston-	OCR investigated whether the District discriminates against Black students by disciplining them more often and more severely than similarly situated White students.
Salem/Forsyth County Schools State: NC	OCR reviewed the District's disciplinary policies and procedures and analyzed data and student disciplinary files
Title VI Area of Concern: Discipline	provided by the District. OCR also conducted a site visit of two high schools, three middle schools, one elementary school, and one alternative program. At each school, OCR
Date Resolved: 9/20/2023	staff interviewed administrators, teachers, and other staff involved in the discipline process.
Type of Resolution: Resolution Agreement	OCR's investigation revealed that White students received superior treatment at both the referral stage and the sanctioning stage of the discipline process compared to similarly situated Black students. OCR also found persistent race disparities in suspension rates.
	The Resolution Agreement requires the district to, among other things, review and revise its discipline policies, as necessary, assess its alternative school program, submit discipline data as well as annual reports to OCR, and train staff and School Resource Officers on the revised policies and procedures as well as evidence-based techniques on classroom management and de-escalation approaches.

As these examples illustrate, disparate impact regulations ensure that the foundational goal of Title VI—to prohibit discrimination on the basis of race, color, or national origin, particularly with the use of federal funds—is achieved.⁹⁹ Furthermore, as DOJ outlines in their *Title VI Legal Manual*, disparate impact regulations provide important protection against discrimination that may occur unknowingly or that is difficult to detect:

A growing body of social psychological research has also reaffirmed the need for legal tools that address disparate impact. This research demonstrates that implicit bias against people of color remains a widespread problem. Such bias can result in discrimination that federal agencies can prevent and address through enforcement of their disparate impact regulations. Because individual motives may be difficult to prove directly, Congress has frequently permitted proof of only discriminatory impact as a means of overcoming discriminatory practices. The Supreme Court has, therefore, recognized that disparate impact liability under various civil rights laws, "permits plaintiffs to counteract unconscious prejudices and disguised animus that escape easy classification as disparate treatment." 100

Notably, proof of disparate treatment or disparate impact alone does not automatically mean that Title VI has been violated. Rather, proof of either type of discrimination establishes a prima facie case, ¹⁰¹ meaning the inquiry into discrimination can proceed and the burden to justify its actions is shifted to the entity alleged to have discriminated.

Office for Civil Rights Title VI Enforcement Process

OCR uses two approaches to identify Title VI violations: complaint investigations or compliance reviews. If an investigation into a complaint or compliance concern confirms a Title VI violation, the school district must develop and implement a plan to resolve the violation or be subject to litigation or the loss of its federal funding. ¹⁰²

Figure 1 is a flowchart illustrating the entire OCR enforcement process.

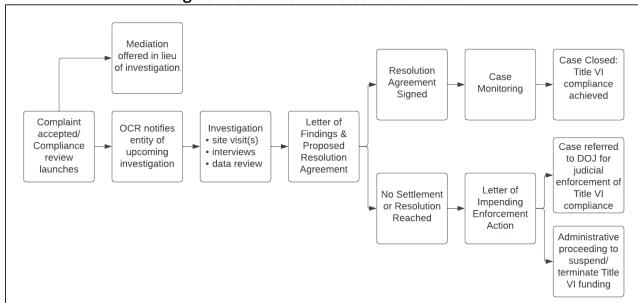


Figure 1. OCR Title VI Enforcement Process

Note: The entity under investigation may request to settle the matter by entering into a Resolution Agreement at any time prior to the conclusion of the investigation.

Step 1: Compliance Review and Complaint Receipt

OCR may independently initiate a compliance review to identify Title VI violations. When OCR initiates such a review and determines through data that a Title VI violation may exist, OCR can launch an investigation. OCR example, if a school district's data shows that it disciplines Black students more frequently or more harshly than similarly situated White students, OCR may commence a Title VI compliance investigation.

OCR more frequently learns about Title VI violations when someone files a formal complaint, such as when OCR investigated allegations of racial discrimination regarding a professor who harassed a White student for expressing Christian conservative views in the

classroom. ¹⁰⁵ Anyone who believes that an educational institution that receives federal funding has discriminated against someone on the basis of race, color, or national origin may file a complaint under Title VI. ¹⁰⁶ The complaint must be in writing and include the complainant's contact information as well as (1) an explanation of the alleged discrimination that occurred, (2) the identity of the person or group harmed by the alleged discrimination, and (3) the identity of the school or institution alleged to have discriminated. ¹⁰⁷ The complaint must be filed with OCR "within 180 calendar days of the date of the alleged discrimination," unless OCR determines that a timeliness waiver should be granted. ¹⁰⁸ The complaint may also be considered timely if it alleges continued discrimination or a pattern of discrimination. ¹⁰⁹ The individual filing the complaint does not have to be the victim of or impacted by the alleged discrimination. ¹¹⁰

Step 2: OCR Notification to School District

Upon initiating a compliance review or an investigation into a Title VI complaint, OCR mails a letter notifying the subject of the investigation, typically a school district, of the potential violation. The letter includes an explanation of OCR's jurisdiction, or legal authority, to investigate the matter, the allegation(s) OCR will investigate, details about the investigation and resolution process, and the contact information for the OCR staff person managing the matter. The notification also includes a copy of the OCR Complaint Processing Procedures manual. 12

Step 3: Investigation

OCR's Title VI regulations require schools to keep "timely, complete, and accurate" records that include racial and ethnic data that allows OCR to determine Title VI compliance. 113 OCR investigations require that OCR be given access to the facilities and information of recipients of federal funds during regular business hours. 114 OCR requires access to a breadth of records such as the subject of the investigation's "books, records, accounts, including electronic storage media, microfilming, retrieval systems and photocopies maintained by the recipient, and other sources of information, including witnesses, and its facilities, as may be relevant, in OCR's judgment, to ascertain compliance." 115 OCR may conduct in-person site visits and record interviews of students and employees to complete its investigation. 116

If during an investigation, OCR identifies additional compliance concerns beyond what prompted the initial investigation, OCR will use one of the following options to address the additional concerns: (a) discuss the additional violations and how they are to be resolved in the resolution letter or letter of findings (both letters describe the investigation and its outcome) as well as in the resolution agreement; (b) provide guidance to the violating school(s) on how to resolve the issue; or (c) launch a new compliance review or investigation.¹¹⁷

Step 4: Resolution

The school system under investigation may request to resolve the matter at any time before the conclusion of the investigation as long as OCR determines that a voluntary resolution agreement, or settlement, is appropriate. ¹¹⁸ If the parties do not settle during the investigation and OCR determines that the school or district failed to comply with Title VI, OCR will prepare a letter of findings and a proposed resolution agreement. The agreement is required to contain the steps that will be taken to address the discrimination against the

individual(s) harmed in the case as well as systemic discrimination. ¹¹⁹ OCR will contact the school or district to determine if they will accept or negotiate a resolution agreement. ¹²⁰ Once presented, the school system has ninety days to sign the resolution agreement; however, if it becomes clear to OCR that the agreement will not be signed, OCR may shorten this window. ¹²¹ If the school district signs the resolution agreement, OCR will monitor its implementation until the school district is in compliance with the terms of the resolution agreement and the law(s), statute(s), and regulation(s) at issue. Upon determining that the school is in full compliance with Title VI, OCR will close the case. ¹²²

If OCR confirms a Title VI violation through its investigation, but a resolution agreement cannot be reached, or the violating entity does not comply with the terms of the resolution agreement, OCR will issue a Letter of Impending Enforcement Action. ¹²³ This letter describes how OCR plans to enforce Title VI against the violating party. At its discretion, OCR will enforce Title VI compliance by either (a) filing paperwork to suspend or terminate federal funding to the entity in violation of Title VI, or (b) referring the case to DOJ to file a lawsuit. ¹²⁴ Title VI conveys to DOJ the power to represent the United States in enforcing federal laws in court. ¹²⁵

Private individuals may also file a lawsuit to address allegations of intentional discrimination, regardless of OCR's investigative findings. 126

Step 5: Monitoring

Once a school or district enters into a resolution agreement with OCR, they are required to provide sufficient information to OCR to allow it to determine compliance with the agreement. ¹²⁷ This monitoring may include reports due to OCR as well as follow-up interviews and site visits. ¹²⁸ During this phase, if OCR determines noncompliance or identifies new violations of federal law, it may offer technical assistance to address the issue or launch a new compliance review or directed investigation. ¹²⁹ OCR will continue to monitor the district until it determines that the entity has "fully and effectively complied" with the resolution agreement, including any modifications to the agreement, and with all federal laws and regulations relevant to the specific case. ¹³⁰

Alternative Dispute Resolution: Mediation

Due to the rapidly increasing number of Title VI complaints in recent years, OCR revised its *Case Processing Manual* in August 2022 to offer those filing complaints the option of confidential mediation, ideally resolving the matter in a few months, instead of an OCR investigation which can take years. ¹³¹ Previously, OCR only offered mediation on a case-by-case basis. ¹³² Complainants may now request mediation when filing their complaint, or OCR may contact the involved parties to offer mediation as a resolution option. ¹³³ If selected, OCR serves as the impartial mediator, and all parties are expected to participate in mediation with the goal of reaching a mutually acceptable resolution. ¹³⁴ If an agreement is not reached through mediation, OCR will commence its normal investigation process. ¹³⁵

Department of Justice's Title VI Enforcement Process

Title VI grants DOJ authority to enforce Title VI through civil litigation on behalf of federal agencies and the American people. ¹³⁶ DOJ provides pre-enforcement legal counsel to federal agencies as obstacles to Title VI enforcement arise. ¹³⁷ Federal agencies may then refer cases to DOJ for litigation when the agency is unable to obtain Title VI compliance on its own. Additionally, DOJ may file a statement of interest or an amicus brief explaining the government's expectations regarding Title VI when a person, group, or entity files a private Title VI lawsuit. ¹³⁸ Conversely, when a private party sues a federal agency under Title VI, DOJ serves as that agency's attorney. ¹³⁹

The Elimination of a Private Right of Action to Enforce Title VI Disparate Impact Regulations and Other Recourse for Title VI Violations

In the 2001 Alexander v. Sandoval decision, the U.S. Supreme Court determined that while private plaintiffs may sue in federal court to enforce disparate treatment under Title VI, they may not enforce disparate impact regulations in federal court. The Sandoval decision was a major setback to individuals and groups working to end discrimination and advance civil rights, but relief for disparate impact remains available through the federal agency enforcement process. 140

Following Sandoval, DOJ issued guidance reaffirming the federal government's responsibility to enforce disparate impact regulations as Congress instructed. 141 Thus, for individuals experiencing or observing discrimination on the basis of race, color, or national origin in schools that receive federal funding, a formal complaint can be filed with OCR that alleges disparate treatment or disparate impact discrimination. The end of the private right of action to enforce disparate impact discrimination makes OCR's complaint investigations, compliance reviews, and free technical assistance even more critical to enforce Title VI, especially as it relates to disparate impact discrimination. OCR also issues guidance on Title VI to help districts understand their obligations. 142

Why Prioritize Title VI Compliance?

Compliance with Title VI provides a concrete path for states, districts, schools, and families to advance equal educational opportunity. By examining whether a district or school's policies or practices impose disparate treatment or cause a disparate impact based on race, color, or national origin, education leaders can interrogate whether their policies and practices serve all students well and what reforms could be made when they do not. Failure to comply with Title VI also can invite a complaint or compliance review as well as an investigation and monitoring by OCR. Once OCR initiates an investigation, it may take years for a district to implement all the necessary changes and prove its compliance.

In 2023, OCR received 19,201 complaints, more than double the 8,934 received in fiscal year 2021. 143 Over 12,500 of these complaints were related to K-12 schools. 144 Eighteen percent of all complaints (3,526) specifically implicated Title VI. 145 The chart below, published by OCR, identifies the topics of these complaints, demonstrating the wide applicability of Title VI.

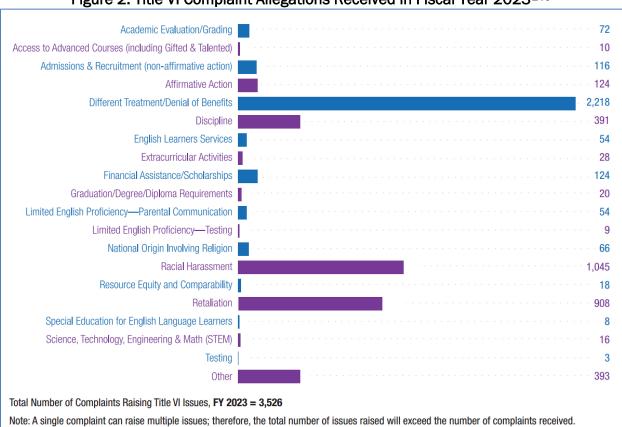


Figure 2. Title VI Complaint Allegations Received in Fiscal Year 2023¹⁴⁶

OCR predicts that the 2024 civil rights complaint numbers may surpass the records set over the past two years. 147 According to Assistant Secretary for Civil Rights, Catherine Lhamon, OCR has seen a 26% increase in complaints in 2024 compared to the same time in 2023. 148

U.S. Education Secretary Miguel Cardona emphasized ED's continued commitment to vigorously enforcing Title VI when, in November 2023, he asked Congress for additional funding to "expedit[e] investigations against antisemitism or Islamophobia" in response to increased complaints to ED regarding discrimination against students who are or are perceived to be Jewish, Israeli, Muslim, Arab, or Palestinian. While highlighting this issue, Secretary Cardona warned schools about the potential loss of federal funding if they fail to comply with their legal responsibility to provide all students with a learning environment free from discrimination.

In addition to avoiding the threat of federal action, states and school districts should prioritize Title VI compliance because it is best for students. Research consistently makes clear that all students fare better in environments free from discrimination, pointing out that "[c]reating safe and supportive learning environments is essential to student achievement." Schools that provide a high-quality education ensure that students of all races, colors, and national origins receive equitable access to learning opportunities that support their ability to thrive and prepare them to be engaged civic participants who are college and career ready. Is In sum, as Na'ilah Suad Nasir, President of the Spencer Foundation, noted at the launch of the Education Rights Institute, "Our society does better when all communities and young people have the opportunity to develop their full potential."

The Role of the Education Rights Institute

The Education Rights Institute (ERI) aims to expand the opportunity for students in the United States to enjoy a high-quality education that empowers them to be college and career ready and engaged civic participants. ERI accomplishes this mission by: (1) producing scholarship about a federal right to a high-quality education and other law and policy reforms that increase access to a high-quality education; (2) amplifying research on the educational opportunity gap and how it disadvantages students due to their neighborhood, class, or race; and (3) expanding the capacity of school districts to capitalize on the benefits of effectively implementing existing federal laws that support a high-quality education, particularly Title VI of the Civil Rights Act of 1964. To learn more, read our first report, A Primer on Opportunity Gaps, Achievement Gaps, and the Pursuit of a High-Quality Education. ¹⁵⁴ and watch our videos. ¹⁵⁵

ERI will publish a series of reports and videos that highlight how Title VI applies to schools and districts to increase understanding of and compliance with Title VI. ERI will also offer support and resources to districts that want to improve their compliance with Title VI. Through its work, ERI aims to strengthen our nation's education system to be the engine of opportunity that our democracy, economy, and society needs.

Endnotes

- ¹ Lyndon B. Johnson, President of the United States, Radio and Television Remarks Upon Signing the Civil Rights Bill (July 2, 1964), *in* The American Presidency Project https://www.presidency.ucsb.edu/documents/radio-and-television-remarks-upon-signing-the-civil-rights-bill (last visited May 30, 2024).
- ² Discrimination is generally defined as "[t]he effect of a law or established practice that confers privileges on a certain class or that denies privileges to a certain class because of race, age, sex, nationality, religion, or disability. Differential treatment; esp., a failure to treat all persons equally when no reasonable distinction can be found between those favored and those not favored." *Discrimination*, BLACK'S LAW DICTIONARY (11th ed. 2019). As explained on pages 12-18 of this Primer, Title VI protects individual from disparate treatment and disparate impact discrimination.
- ³ The Civil Rights Act of 1964, tit. VI, Pub. L. No. 88-352, § 601, 78 Stat. 241, 252-53 (codified as amended at 42 U.S.C. § 2000d et seq.).
- ⁴ See, e.g., David S. Knight, Accounting for Teacher Labor Markets and Student Segregation In Analyses of Teacher Quality Gaps, 49 Educ. Researcher 454, 455 (Aug. 2020) ("Historically underserved students are more likely to be assigned to a novice teacher, a less effective teacher, one who scored in the bottom quintile on his or her certification exam, and one who received his or her undergraduate degree from a nonselective undergraduate institution."); KAYLA PATRICK ET AL., EDUC. TRUST, INEQUITIES IN ADVANCED COURSEWORK: WHAT'S DRIVING THEM AND WHAT LEADERS CAN DO 4 (2020), https://edtrust.org/wp-content/uploads/2014/09/Inequities-in-Advanced-Coursework-Whats-Driving-Them-and-What-Leaders-Can-Do-January-2019.pdf ("Researchers have known for decades that Black and Latino students are assigned to advanced courses at much lower rates than their peers."): Sarah Thomas et al., Closing the Gap: Digital Equity Strategies for the K-12 Classroom 59 (2019) ("According to the FCC, over half of rural Americans do not have access to high-speed internet access. Poor urban areas are not faring much better, with sketchy tactics often being used to justify slower speeds in these areas." (citations omitted)); Ivy Morgan, Educ. Trust, Equal Is Not Good Enough: An Analysis of School Funding EOUITY ACROSS THE U.S. AND WITHIN EACH STATE 1 (2022), https://edtrust.org/wpcontent/uploads/2014/09/Equal-Is-Not-Good-Enough-December-2022.pdf ("[T]he U.S. education system is plagued with persistent and longstanding funding inequities—with the majority of states sending the fewest number of resources to the districts and schools that actually need the most resources."). See generally EDUCATION RIGHTS INSTITUTE, https://www.law.virginia.edu/education/institute-scholarship-and-research (last visited May 30, 2024).
- ⁵ KIMBERLY JENKINS ROBINSON, SARAH BEACH & HELEN MIN, EDUC. Rts. INSt., A PRIMER ON OPPORTUNITY GAPS, ACHIEVEMENT GAPS, AND THE PURSUIT OF A HIGH-QUALITY EDUCATION 11-14 (2024), https://www.law.virginia.edu/sites/default/files/documents/primer-march4-2024_1.pdf.
 - ⁶ Title VI of the Civil Rights Act of 1964 § 601, 42 U.S.C. § 2000d.
 - 7 Id. at § 2000d-1.
- 8 34 C.F.R. § 100. Similar to the language of Title VI itself, ED's implementing regulations states that "[n]o person in the United States shall, on the ground of race, color, or national origin be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program" receiving federal financial assistance. 34 C.F.R. § 100.3(a).
 - 9 34 C.F.R. § 100.3(b)(1)(i)-(vii).
 - ¹⁰ Education and Title VI, U.S. DEP'T OF EDUC.: OFF. FOR CIVIL RIGHTS (March 4, 2024).
- https://www2.ed.gov/about/offices/list/ocr/docs/hq43e4.html [hereinafter Education and Title VI]; see also Race, Color, or National Origin Discrimination: Overview of the Law, U.S. DEP'T OF EDUC. (Feb. 13, 2024), https://www2.ed.gov/policy/rights/guid/ocr/raceoverview.html [hereinafter Race, Color, or National Origin Discrimination] (explaining Title VI prohibits employment discrimination but only provides limited protection and further noting that most complaints OCR receives raising race, color, or national origin discrimination in employment are referred to the Equal Employment Opportunity Commission).
 - ¹¹ Education and Title VI, supra note 10; Race, Color, or National Origin Discrimination, supra note 10.
- 12 Race, ENCYCLOPEDIA BRITANNICA, https://www.britannica.com/topic/race-human/The-history-of-the-idea-of-race (last visited May 30, 2024). "Color," Nina G. Jablonski, Skin Color and Race, 175 Am. J. Phys. ANTHROPOL. 437, 437 (2021). "National Origin," CIVIL RIGHTS DIV., U.S. DEP'T OF JUST., Federal Protections Against National Origin Discrimination, https://www.justice.gov/crt/federal-protections-against-national-origin-discrimination-1

(July 11, 2023).¹³ OFF. FOR CIVIL RIGHTS, U.S. DEP'T OF EDUC., REPORT TO THE PRESIDENT AND SECRETARY OF EDUCATION UNDER SECTION 203(B)(1) OF THE DEPARTMENT OF EDUCATION ORGANIZATION ACT, FY 2023 (2024), https://www2.ed.gov/about/reports/annual/ocr/report-to-president-and-secretary-of-education-2023.pdf [hereinafter OCR FISCAL YEAR 2023 REPORT] ("OCR's mandate to eliminate discriminatory barriers in education reaches more than 79 million individuals at institutions that receive federal funds[.]" Their reach also includes libraries, museums, and correctional institutions which ED also funds.)

- ¹⁴ CIVIL RIGHTS DIV., U.S. DEP'T OF JUST., TITLE VI LEGAL MANUAL § 5, at 27 (2021), https://www.justice.gov/crt/book/file/1364106/dl?inline [hereinafter TITLE VI LEGAL MANUAL] (citing S. Rep. No. 64, at 17 (1988), reprinted in 1988 U.S.C.C.A.N. 19).
- ¹⁵ 42 U.S.C. § 2000d-4a. The Civil Rights Restoration Act of 1987 specified that recipients of federal funds must comply with civil rights laws in all programs and services offered, Pub. L. No. 100-259, 102 Stat. 28 (1988), abrogating the Supreme Court's ruling in *Grove City College v. Bell*, 465 U.S. 555 (1984), which had held that civil rights laws only apply to the programs intended to benefit from federal funding. See *also* TITLE VI LEGAL MANUAL, *supra* note 14, § 5, at 22-23.
- ¹⁶ TITLE VI LEGAL MANUAL, *supra* note 14; see *also* 110 Cong. Rec. 6544 (1964) ("Title VI's prohibitions were meant to be applied institution-wide, and as broadly as necessary to eradicate discriminatory practices in programs that federal funds support."); see *also* S. Rep. No. 64, at 5–7 (1988), *reprinted in* 1988 U.S.C.C.A.N. 3, 7–9; see *also Race, Color, or National Origin Discrimination*, *supra* note 10.
- ¹⁷ 4 C.F.R. § 100.7(e) (1980) ("No recipient or other person shall intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by section 601 of the Act or this part, or because he has made a complaint, testified, assisted, or participated in any manner in an investigation, proceeding or hearing under this part. The identity of complainants shall be kept confidential except to the extent necessary to carry out the purposes of this part, including the conduct of any investigation, hearing, or judicial proceeding arising thereunder."); Peters v. Jenney, 327 F.3d 307 (4th Cir. 2003) (holding that Title VI implicitly protects against retaliation, affirming the validity of 34 C.F.R. § 100.7(e)); see also "Dear Colleague" Letter from Seth M. Galanter, Acting Assistant Sec'y for Civil Rights, U.S. Dep't of Educ. (Apr. 13, 2013), https://www2.ed.gov/about/offices/list/ocr/letters/colleague-201304.html ("Individuals should be commended when they raise concerns about compliance with the Federal civil rights laws, not punished for doing so.").
- ¹⁸ Jared P. Cole, Cong. Rsch. Serv., IF12455, Race Discrimination at School: Title VI and the Department of Education's Office for Civil Rights 2 (July 21, 2023), https://www.everycrsreport.com/files/2023-07-21_IF12455_288dde59131d1d8a665053efb4db508bd38a3b2b.pdf. See *generally* "Dear Colleague" Letter from Catherine E. Lhamon, Assistant Sec'y for Civil Rights, U.S. Dep't of Educ., & Vanita Gupta, Acting Assistant Att'y Gen. for Civil Rights, U.S. Dep't of Just. (Jan. 7, 2015), https://www2.ed.gov/about/offices/list/ocr/letters/colleague-el-201501.pdf.
 - ¹⁹ Cole, supra note 18.
- ²⁰ U.S. COMM'N ON CIVIL RIGHTS, LIMITED ENGLISH PROFICIENCY PLAN: PLAN FOR PROVIDING ACCESS TO BENEFITS AND SERVICES FOR PERSONS WITH LIMITED ENGLISH PROFICIENCY, (Oct. 5, 2023), https://www.usccr.gov/limited-english-proficiency-plan ("Meaningful Access: Language assistance that results in accurate, timely, and effective communication at no cost to the individual with LEP needing assistance. Meaningful access denotes access that is not significantly restricted, delayed, or inferior as compared to programs or activities provided to English-proficient individuals."); see also Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, 69 Fed. Reg. 1763, 1765 (Jan. 12, 2004), https://www.govinfo.gov/content/pkg/FR-2004-01-12/pdf/FR-2004-01-12.pdf ("What constitute reasonable steps to ensure meaningful access in the context of federally-assisted programs and activities will be contingent upon a balancing of four factors: (1) The number and proportion of eligible LEP constituents; (2) the frequency of LEP individuals' contact with the program; (3) the nature and importance of the program; and (4) the resources available, including costs.").
- ²¹ Education and Title VI, supra note 10; see also OFF. FOR CIVIL RIGHTS, U.S. DEP'T OF EDUC., ABOUT OCR, (May 24, 2023), https://www2.ed.gov/about/offices/list/ocr/aboutocr.html (explaining that OCR also provides training and support to help institutions achieve voluntary compliance with the civil rights laws that OCR enforces.).
 - ²² TITLE VI LEGAL MANUAL, supra note 14, § 3, at 1-6.

23 Id.

24 Id

²⁵ CIVIL RIGHTS DIV., U.S. DEPT. OF JUST., YOUR RIGHTS UNDER TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, https://www.justice.gov/crt/file/703261/dl; CIVIL RIGHTS DIV., U.S. DEP'T OF JUST., https://www.civilrights.justice.gov/ (last visited May 30, 2024).

- ²⁶ John F. Kennedy, President of the United States, Special Message to the Congress on Civil Rights and Job Opportunities (Jan. 19, 1963), *in* The American Presidency Project https://www.presidency.ucsb.edu/documents/special-message-the-congress-civil-rights-and-job-opportunities.
- ²⁷ U.S. Const. amend. XIII, § 1 ("Neither slavery nor involuntary servitude, except as a punishment for crime whereof the party shall have been duly convicted, shall exist within the United States, or any place subject to their jurisdiction.").
- ²⁸ U.S. Const. amend. XIV, § 1 ("All persons born or naturalized in the United States, and subject to the jurisdiction thereof, are citizens of the United States and of the State wherein they reside. No State shall make or enforce any law which shall abridge the privileges or immunities of citizens of the United States; nor shall any State deprive any person of life, liberty, or property, without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws.").
- ²⁹ U.S. Const. amend. XV, § 1 ("The right of citizens of the United States to vote shall not be denied or abridged by the United States or by any State on account of race, color, or previous condition of servitude.").
- ³⁰ See, e.g., Presidential Proclamation No. 95 (Jan. 1, 1863), *reprinted in Online Exhibits*, Nat'l Archives (May 5, 2017), https://www.archives.gov/exhibits/featured-documents/emancipation-proclamation/transcript.html (Emancipation Proclamation).
- ³¹ J. MICHAEL MARTINEZ, COMING FOR TO CARRY ME HOME: RACE IN AMERICA FROM ABOLITIONISM TO JIM CROW 221 (2012) ("Jim Crow laws ensured that people of color would remain politically impotent. These laws, which legally segregated blacks and whites, were enacted throughout the Southern states beginning in the 1880s. Blacks and whites had self-segregated before that time, but the new laws were far more rigid and brutal than laws and customs previously in place."); Brandon T. Jett, Race, Crime, and Policing in the Jim Crow South: African Americans and Law Enforcement in Birmingham, Memphis, and New Orleans, 1920–1945, at 2 (2021) ("How police officers enforced Jim Crow ranged from writing a ticket or arresting an African American for violating segregation ordinances to acting as judge, jury, and executioner when killing black southerners who violated the many social mores and customs of Jim Crow."). See generally Michael J. Klarman, From Jim Crow to Civil Rights: The Supreme Court and the Struggle for Racial Equality (2004).
- ³² The separate but equal approach finds its roots in our nation's schools and flourished there. Douglas J. Ficker, *From* Roberts to Plessy: *Educational Segregation and the "Separate but Equal" Doctrine*, 84 J. NEGRO HIST. 301, 305 (1999). In the 1850 decision in *Roberts v. City of Boston*, the Supreme Judicial Court of Massachusetts upheld the Boston school committee's decision to deny Sarah Roberts admission to the White school close to her home because the committee provided a school for Black children. 59 Mass. (5 Cush.) 198 (1850). Other states built upon the Roberts case to justify the racial segregation of students in schools. See Ficker, *supra*, at 305.
- 33 DAVID K. FREMON, THE JIM CROW LAWS AND RACISM IN UNITED STATES HISTORY 20–21 (2015) ("Those who defied white oppression faced blacklists, court charges, or vigilante 'justice.' 'The laws were so rigid no one could ever think you could break them,' recalled black journalist Vernon Jarrett. 'There were to be no [black] victories.' . . . A set of written and unwritten rules governed relations between whites and blacks in the South. These were intended to keep a black person in his or her place— in a social position below that of a white person. Black and white children often played together in the South. But by the teenage years, their carefree friendships ended. From then on, the white person would be dominant, the black submissive. Blacks were expected to address whites as 'mister,' 'missus,' or 'miss.' Whites addressed blacks as 'boy' or 'girl.' Older blacks were called 'uncle' or 'auntie.' If white people walked along a sidewalk, blacks had to step aside and let them pass. Black drivers could not pass whites who were driving a buggy. A black man was expected to take off his hat when a white person came near. He did not start a conversation with a white person. Southern blacks learned early in life to curb their ambitions." (alteration in original)).
- ³⁴ See generally Richard Rothstein, The Color of Law: A Forgotten History of How Our Government Segregated America (2017); Richard Kluger, Simple Justice: The History of *Brown v. Board of Education* and Black America's Struggle for Justice (1st ed. 1975); Leslie V. Tischauser, Jim Crow Laws (2012); Ida Bell Wells-Barnett et

AL., SOUTHERN HORRORS: LYNCH LAW IN ALL ITS PHASES (1982); STETSON KENNEDY, JIM CROW GUIDE TO THE U.S.A.: THE LAWS, CUSTOMS AND ETIQUETTE GOVERNING THE CONDUCT OF NONWHITES AND OTHER MINORITIES AS SECOND-CLASS CITIZENS 205 (1990) ("Needless to say, the 'good Negro' in the dominant view of the Southern white community is the one who 'knows his place and stays in it.'").

³⁵ See, e.g., Katherine Mellen Charron et al., Freedom's Teacher: The Life of Septima Clark 51 (2009) ("In 1915–16, annual per-pupil expenditures ranged from a high of \$48.59 for white children in Sumter County to a low of \$0.95 for black children in Colleton County. The disparity ultimately hurt everyone."); Rucker C. Johnson, Children Of The Dream: Why School Integration Works 25 (2019).

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<sup>36</sup> Johnson, supra note 35, at 25.
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⁴⁰ TISCHAUSER, *supra* note 34, at 35-47; Ficker, *supra* note 32, at 301–12. One exception to the lack of enforcement of the "equal" part of separate but equal can be found in *Claybrook v. City of* Owensboro, 16 F. 299 (D. Ky. 1883), in which the U.S. District Court judge in Kentucky ruled that the schools were required to be equal.

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41 175 U.S. 528 (1899).
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⁴⁵ Brown v. Bd. of Educ. (Brown II), 349 U.S. 294, 300, 301 (1955). The late scholar Charles Ogletree, Jr. argued that all deliberate speed is an attitude that has been interpreted as "go slow," which diminishes the impact of *Brown* to end segregation. Charles J. Ogletree, All Deliberate Speed: Reflections on the First Half-Century of *Brown v. Board of Education* 299 (2004); see also Carrie Jane Williamson, *All Deliberate Speed: Reflections on the First Half Century of Brown v. Board of Education*, 12 J. Cath. Educ. 122 (2008) (reviewing Charles J. Ogletree, Jr., All Deliberate Speed: Reflections on the First Half-Century of *Brown v. Board of Education* (2004)).

⁴⁶ See Green v. New Kent Cnty., 391 U.S. 430, 435 (1968); Freeman v. Pitts, 503 U.S. 467, 492–93 (1992) ("It was an appropriate exercise of its discretion for the District Court to address the elements of a unitary system discussed in *Green*, to inquire whether other elements ought to be identified, and to determine whether minority students were being disadvantaged in ways that required the formulation of new and further remedies to ensure full compliance with the court's decree. Both parties agreed that quality of education was a legitimate inquiry in determining DCSS' compliance with the desegregation decree, and the trial court found it workable to consider the point in connection with its findings on resource allocation. The District Court's approach illustrates that the *Green* factors need not be a rigid framework. It illustrates also the uses of equitable discretion.").

⁴⁷ 102 Cong. Rec. 4460 (Mar. 12, 1956) (Statement of Sen. George, "Southern Manifesto"). See also Kluger, supra note 34, at 752; Legal Def. Fund, The Southern Manifesto and "Massive Resistance" to Brown, https://www.naacpldf.org/brown-vs-board/southern-manifesto-massive-resistance-brown/ (last visited May 30, 2024); The Univ. of Va.'s Digital Resources for U.S. History, Virginia's "Massive Resistance" to School Desegregation,

http://www2.vcdh.virginia.edu/xslt/servlet/XSLTServlet?xml=/xml_docs/solguide/Essays/essay13a.xml&xsl=/xml_docs/solguide/sol_new.xsl§ion=essay (last visited May 30, 2024); EQUAL JUST. INITIATIVE, SEGREGATION IN AMERICA 30 (2018), https://segregationinamerica.eji.org/report.pdf?action=purge.

⁴⁸ 102 Cong. Rec. 4460 (Mar. 12, 1956) (Statement of Sen. George, "Southern Manifesto"), *supra* note 47; ("We commend the motives of those States which have declared the intention to resist forced integration by any lawful means."); see *also* The Southern Manifesto and "Massive Resistance" to Brown, *supra* note 47; *Virginia's "Massive Resistance"* to School Desegregation, *supra* note 47; The Library of Virginia, *Exhibition: Brown v. Board of Education: Virginia Responds* (Dec. 2003), *reprinted in The Prince Edward Case and the* Brown *Decision*, https://www.lva.virginia.gov/exhibits/brown/decision.htm; Jerome C. Hafter & Peter M. Hoffman, *Segregation Academies and State Action*, 82 YALE L.J. 1436, 1437–39 (1973). To implement massive resistance, white Americans subjected Black people to subhuman treatment, such as racialized violence including lynching and murder, mass incarceration, eviction, and property damage. Equal Just. Initiative, *supra* note 47, at 23.

³⁷ 163 U.S. 537, 551-52 (1896).

³⁸ Id.

³⁹ *Id.* at 537, 551.

⁴² See *id.* at 544–45.

⁴³ Brown v. Bd. of Educ., 347 U.S. 483, 494-95 (1954).

⁴⁴ *Id.* at 493-94.

- ⁴⁹ See generally Kimberly Jenkins Robinson, Resurrecting the Promise of Brown: Understanding and Remedying How the Supreme Court Reconstitutionalized Segregated Schools, 88 N.C. L. Rev. 787, 787–875 (2010).
- ⁵⁰ Frank Knorr, U.S. Comm'n on Civil Rights, Fulfilling the Letter and Spirit of the Law: Desegregation of the Nation's Public Schools 4 (1976), http://files.eric.ed.gov/fulltext/ED128518.pdf.
- ⁵¹ John F. Kennedy, U.S. President, Special Message to the Congress on Civil Rights and Job Opportunities (Jan. 19, 1963), *in* THE AMERICAN PRESIDENCY PROJECT https://www.presidency.ucsb.edu/documents/special-message-the-congress-civil-rights-and-job-opportunities (last visited May 30, 2024) [hereinafter President Kennedy Speech (Jan. 19, 1963)]; R. Shep Melnick, *The Odd Evolution of the Civil Rights State*, 37 HARV. J.L. & Pub. Pol'Y 113, 118 (2014).
- ⁵² 110 Cong. Rec. 6545 (Mar. 30, 1964) (statement of Sen. Hubert Humphrey) [hereinafter Statement of Sen. Hubert Humphrey].
 - 53 President Kennedy Speech (Jan. 19, 1963), supra note 51; see also Melnick, supra note 51.
 - ⁵⁴ President Kennedy Speech (Jan. 19, 1963), supra note 51.
 - ⁵⁵ Statement of Sen. Hubert Humphrey, supra note 52.
- ⁵⁶ John F. Kennedy, U.S. President, Televised Address to the Nation on Civil Rights (June 11, 1963), *in Historic Speeches*, JOHN F. KENNEDY PRESIDENTIAL LIBRARY & MUSEUM, https://www.jfklibrary.org/learn/about-ifk/historic-speeches/televised-address-to-the-nation-on-civil-rights (last visited May 30, 2024).
- ⁵⁷ About Dr. Martin Luther King, Jr.: "In 1954, Martin Luther King became pastor of the Dexter Avenue Baptist Church in Montgomery, Alabama. Always a strong worker for civil rights for members of his race, King was, by this time, a member of the executive committee of the National Association for the Advancement of Colored People, the leading organization of its kind in the nation. He was ready, then, early in December, [sic] 1955, to accept the leadership of the first great Negro nonviolent demonstration of contemporary times in the United States, the bus boycott." NOBEL LECTURES, PEACE 1951–1970 (Frederick W. Haberman ed., 1972), reprinted in The Nobel Foundation, Martin Luther King Jr. Biographical, https://www.nobelprize.org/prizes/peace/1964/king/biographical/ (last visited May 30, 2024).
- 58 Referred to as the "Mother of the [Civil Rights] Movement" and friend to civil rights icons like Ella Baker, Rosa Parks, A. Philip Randolph, and Dr. Martin Luther King, Jr., Septima Poinsette Clark was the daughter of a formerly enslaved father and free mother in South Carolina whose experience as a public school teacher laid the foundation for a desire to establish Citizenship Schools to prepare members of the Black community to pass literacy tests required as a prerequisite for voting. Clark previously helped integrate the Charleston City Schools' teaching force. CHARRON ET AL., supra note 35 ("Convinced that the momentum of local postwar activism could be harnessed to federal opportunities to dismantle Jim Crow. Clark took a stand for integration in 1950, an unpopular choice with most white Charlestonians and many of her Black colleagues. In 1956, when the Charleston City School Board fired Clark for refusing to conceal her membership in the NAACP, it inadvertently freed her to devote her full attention to issues that had long been on her mind. Four decades of teaching and civic organizing shaped how she perceived the fundamental problems confronting the southern black community, including the need for better schools, better health care, better job opportunities and wages, and increased voter participation—particularly among black women—in local, state, and federal affairs. The challenge this former public school teacher accepted was to find a means to solve them."): Grace Jordan McFadden, Septima P. Clark and the Struggle for Human Rights, in Women in the Civil Rights Movement: TRAILBLAZERS AND TORCHBEARERS, 1941-1965 (Vicki L. Crawford et al., eds., 1990).
- ⁵⁹ J. Douglas McElvy, *Honoring Fred Gray*, Addendum-February-2015.pdf. As an attorney, Mr. Gray has represented not only famous civil rights icons, but also Black schoolchildren throughout Alabama fighting to desegregate their local public schools. *Id.* ("In his first year of law practice, Fred represented Rosa Parks and Martin Luther King, who later became famous and so did he."); American Bar Association, *Civil Rights Icon Fred Gray to Receive Prestigious ABA Medal*, (June 19, 2023), https://www.americanbar.org/news/abanews/abanews-archives/2023/06/civil-rights-icon-fred-gray-aba-medal/ ("Gray played a crucial role in many of the biggest moments in civil rights history in the 1950s, '60s and '70s. He represented Rosa Parks and was the chief legal strategist during the Montgomery bus boycott of 1955 and 1956. He represented the marchers during the Selma-to-Montgomery civil rights marches of 1965. And he represented the victims of the infamous Tuskegee syphilis study, obtaining a settlement for the survivors in 1975 and an apology from President Bill

Clinton, on the government's behalf, in 1997.... Gray's motto was 'to destroy everything segregated I could find.' His legal efforts led to the integration of the University of Alabama and Auburn University. In 1967, he won a court order that integrated all Alabama educational institutions that were not already under court orders.").

60 U.S. Congressman John Lewis was the youngest speaker at the March on Washington on August 28, 1963, as the Chairman of the Student Nonviolent Coordinating Committee, Joseph R. Biden, Jr., U.S. President, Statement by President Joe Biden on the 59th Anniversary of the March on Washington (Aug. 28, 2022), in Statements and Releases, THE WHITE HOUSE, https://www.whitehouse.gov/briefing-room/statements- releases/2022/08/28/statement-by-president-joe-biden-on-the-59th-anniversary-of-the-march-on-washington/ (last visited May 30, 2024). In the original draft of his speech, he criticized the proposed Civil Rights Act, stating, "In good conscience, we cannot support wholeheartedly the administration's civil rights bill, for it is too little and too late. There's not one thing in the bill that will protect our people from police brutality." John Lewis, Chairman, Student Nonviolent Coordinating Comm., Original Draft of Speech at the March on Washington (Aug. 28, 1963), in March on Washington Speech, SNCC DIGITAL GATEWAY, https://snccdigital.org/inside-sncc/policystatements/march-washington-speech/ (last visited May 30, 2024); LIBRARY OF CONGRESS, THE CIVIL RIGHTS ACT OF 1964: A Long Struggle for Freedom, https://www.loc.gov/exhibits/civil-rights-act/civil-rights-era.html (last visited May 30, 2024). March organizers revised this line of the speech so as not to offend President Kennedy. In his delivered remarks, Mr. Lewis stated, "It is true that we support the administration's civil rights bill. We support it with great reservations, however." John Lewis, Chairman, Student Nonviolent Coordinating Comm., Speech at March on Washington (Aug. 28, 1963), in Voices of Democracy: The U.S. Oratory Project, https://voicesofdemocracy.umd.edu/lewis-speech-at-the-march-on-washington-speech-text/ (last visited May 30, 2024).

61 Kristopher B. Burrell, Black Women as Activist Intellectuals: Ella Baker and Mae Mallory Combat Northern Jim Crow in New York City's Public Schools during the 1950s, in The Strange Careers of the Jim Crow North: SEGREGATION AND STRUGGLE OUTSIDE OF THE SOUTH 89, 104-05 (Brian Purnell & Jeanne Theoharis with Jomaiz Woodard eds., 2019). Mae Mallory was a mother and activist who used both community organizing and the legal system to fight for better school for children of color in New York City. Id. ("In September of 1958, nine Harlem mothers, including Mae Mallory, removed their fifteen children from junior high schools. This act violated the state's compulsory education law. These mothers, initially nicknamed 'the Little Rock Nine of Harlem,' soon became known as the 'Harlem Nine.' . . . In addition to filing suit against the BOE, the [Parents Committee for Better Education (PC)] established an alternative school in Harlem. . . . The PC demonstrated that black parents, with community support, could develop a rigorous, culturally sensitive, and diverse liberal arts curriculum, more effectively than the Board of Education, 'Recognizing the irony of the situation, they decided to end the private tutoring' and filed a \$1 million lawsuit against the city for 'sinister and discriminatory purpose in the perpetuation of racial segregation in five school districts in Harlem."). A group of parents prevailed in In re Skipwith, 180 N.Y.S.2d 852, 871 (N.Y. Dom. Rel. Ct. 1958), where Judge Justine Wise Polier, held, "So long as non-white or 'X' schools have a substantially smaller proportion of regularly licensed teachers than white or 'Y' schools, discrimination and inferior education, apart from that inherent in residential patterns, will continue. The Constitution requires equality, not mere palliatives. Yet the fact remains that more than eight years after the Supreme Court ruling in Sweatt v. Painter [] and more than four years after its ruling in Brown v. Board of Education, the Board of Education of the City of New York has done substantially nothing to rectify a situation it should never have allowed to develop, for which it is legally responsible, and with which it has had ample time to come to grips, even in the last four years." This victory led the Board of Education to relent to implementing an open transfer policy officially integrating New York City schools.

⁶² See generally Tomiko Brown-Nagin, Civil Rights Queen: Constance Baker Motley and the Struggle for Equality (2022); Lawyer. Advocate. Judge. Elected Official., Legal Def. Fund, https://www.naacpldf.org/naacp-publications/ldf-blog/cbm-100/ (last visited May 30, 2024) ("Constance Baker Motley wrote the original complaint in Brown v. Board of Education and pioneered the legal campaigns for several seminal school desegregation cases. She was the first Black woman to argue before the Supreme Court and went on to win nine out of ten cases.").

⁶³ Theodore M. Shaw, *Tribute to Jack Greenberg*, 117 Colum. L. Rev. 1057 (2017). Jack Greenburg was a White activist in the Civil Rights Movement. *Id.* at 1057 ("I have often said that Jack Greenberg had as much influence on our country through the law as any attorney in American history. His role as one of the principal lawyers who argued for the plaintiffs in the four cases consolidated under the name *Brown v. Board of*

Education guaranteed him that place in history, as did his twenty-three years as head of the NAACP Legal Defense and Educational Fund, Inc. (LDF) during the height of the Civil Rights Movement." (citations omitted)).

64 On June 2, 1963, the House of Representatives debated the Senate's amendments to the Civil Rights Bill. The Bill passed with 289 yeas, 126 nays, 1 present, and 15 abstentions. 110 Cong. Rec. 15869, 15897 (July 2, 1964). After having amended the Civil Rights Bill, H.R. 7152, the Senate passed the Bill with 73 yeas and 27 nays on June 19, 1964. 110 Cong. Rec. 14409, 14511 (1964). See also U.S. Senate, The Civil Rights Act of 1964, https://www.senate.gov/artandhistory/history/civil_rights/civil_rights.htm (last visited May 30, 2024); JOHN F. KENNEDY PRESIDENTIAL LIBR. & MUSEUM, https://www.ifklibrary.org/learn/about-ifk/life-of-john-f-kennedy (last visited May 30, 2024); NAT'L ARCHIVES, MILESTONE DOCUMENTS: CIVIL RIGHTS ACT (1964), (Feb. 8, 2022), https://www.archives.gov/milestone-documents/civil-rights-act.

65 The Civil Rights Act of 1964: A Long Struggle for Freedom, LIBR. OF CONG., https://www.loc.gov/exhibits/civil-rights-act-of-1964.html#obj267 (last visited June 3, 2024); Radio and Television Remarks Upon Signing the Civil Rights Bill, 1 Pub. Papers 842 (July 2, 1964) (Lyndon Johnson's statement upon signing the

act/civil-rights-act-of-1964.html#obj267 (last visited June 3, 2024); Radio and Television Remarks Upon Signing the Civil Rights Bill, 1 Pub. Papers 842 (July 2, 1964) (Lyndon Johnson's statement upon signing the Civil Rights Act of 1964). Title VI eventually provided the model for several other civil rights protections in schools. See, e.g., Title IX of the Education Amendments of 1972, 20 U.S.C. § 1681 (prohibiting discrimination on the basis of sex by educational institutions); Individuals with Disabilities in Education Act, 20 U.S.C. § 1400 (1990) (originally enacted as The Education for all Handicapped Children Act of 1975, Pub. L. No. 94-142, 89 Stat. 773) (making available a free appropriate public education to eligible children with disabilities).

⁶⁶ See President Kennedy Speech (Jan. 19, 1963), *supra* note 51; CIVIL RIGHTS DIV., U.S. DEP'T OF JUST., FY 2022 PERFORMANCE BUDGET 21 (2022), https://www.justice.gov/jmd/page/file/1398356/dl (last visited May 30, 2024) ("The Division furthers its core mission to combat segregation in schools by representing the United States in approximately 140 desegregation cases and bringing new investigations to challenge modern-day forms of segregation across the country.").

- 67 Statement of Sen. Hubert Humphrey, supra note 52.
- 68 President Kennedy Speech (Jan. 19, 1963), supra note 51.
- ⁶⁹ Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d-1 ("Each Federal department and agency which is empowered to extend Federal financial assistance to any program or activity, by way of grant, loan, or contract other than a contract of insurance or guaranty, is authorized and directed to effectuate the provisions of section 2000d of this title with respect to such program or activity by issuing rules, regulations, or orders of general applicability which shall be consistent with achievement of the objectives of the statute authorizing the financial assistance in connection with which the action is taken.").

⁷⁰ 110 Cong. Rec. 2467 (Feb. 7, 1964) (statement of Rep. Emanuel Celler) ("It is for these reasons that we bring forth title [sic] VI. The enactment of title VI will serve to override specific provisions of law which contemplate Federal assistance to racially segregated institutions."); Statement of Sen. Hubert Humphrey, supra note 52 ("Legislation is needed for several reasons. First, some Federal statutes appear to contemplate grants to racially segregated institutions. Such laws include the Hill-Burton Act of 1946, 42 United States Code 291e(f) for hospital construction; the second Morrill Act of 1890 for annual grants to land-grant colleges, 7 United States Code 323; and (by implication) the School Construction Act of 1950, 20 United States Code 636(b) (f). In each of these laws Congress expressed its basic intention to prohibit racial discrimination in obtaining the benefits of Federal funds. But in line with constitutional doctrines current when these laws were passed, it authorized the provision of 'separate but equal' facilities. It may be that all of these statutory provisions are unconstitutional and separable, as the Court of Appeals for the Fourth Circuit has recently held in a case under the Hill-Burton Act. Simkins v. Moses H. Cone Memorial Hospital, 323 F. 2d 957 (C.A. 4, 1963), certiorari denied, March 2, 1964. But it is clearly desirable for Congress to wipe them off the books without waiting for further judicial action.").

⁷¹ Olatunde C.A. Johnson, *Lawyering That Has No Name: Title VI and the Meaning of Private Enforcement*, 66 STAN. L. REV. 1293, 1312 (2014) ("By many accounts, enforcement of Title VI by [the Department of Health, Education, and Welfare (HEW), the federal predecessor of the U.S. Department of Education] was a significant contributing factor in the desegregation of Southern school districts. After enactment of Title VI in 1964, HEW issued guidelines that provided federal funds only to school districts that submitted plans showing that they had either desegregated their school systems, had submitted to court ordered plans, or would pursue voluntary desegregation plans.").

72 Gary Orfield & Ryan Pfleger, *The Unfinished Battle for Integration in a Multiracial America – From Brown to Now,* The Civil Rights Project (2024), https://www.civilrightsproject.ucla.edu/research/k-12-education/integration-and-diversity/the-unfinished-battle-for-integration-in-a-multiracial-america-2013-from-brown-to-now/National-Segregation-041624-CORRECTED-for.pdf ("By the mid-1980s, according to the data we analyzed, the proportion of Black students in majority white schools in the South reached a peak of 43%. This measure of integration has been declining steadily since then, with the proportion of Black students in majority white schools in the South around 16% as of 2021. Note that the percent of schools that were majority white has been decreasing over the last 20 years, along with the proportion of the population that is white, and some might suggest that this explains the increase in segregation (as measured by exposure to white students). Yet, the proportion of the population that is white was also decreasing during the 1950s and 1960s when we saw a rapid decrease in segregation, so a simple explanation that relies solely on demographic shifts in the population is too simplistic."); Jared P. Cole, Legislative Attorney, Congressional Research Service, *Civil Rights at School: Agency Enforcement of Title VI of the Civil Rights Act of 1964 (R45665)* at 5 (2019), https://www.everycrsreport.com/files/20190404 R45665 a0ddea4244cc76cbf4e437c34e692ad73c3234 89.pdf.

⁷³ 42 U.S.C. § 2000d; Cole, supra note 72.

⁷⁴ TITLE VI LEGAL MANUAL, supra note 14, § 6, at 2.

⁷⁵ *Id.* § 6, at 3.

⁷⁶ Id.

⁷⁷ Cole, *supra* note 73, at 21–22; TITLE VI LEGAL MANUAL, *supra* note 14, § 6, at 6–9; Coghlan v. Am. Seafoods Co., 413 F.3d 1090, 1095 (9th Cir. 2005) (holding that direct evidence of discriminatory intent is evidence that, "if believed, proves the fact" of discriminatory intent "without inference or presumption" (citation omitted)).

⁷⁸ Cole. supra note 73, at 21.

⁷⁹ "Dear Colleague" Letter from Vanita Gupta, Principal Deputy Assistant Att'y Gen. for Civil Rights, U.S. Dep't of Just., et al., (Nov. 1, 2016), https://www.justice.gov/opa/file/903996/dl?inline; TITLE VI LEGAL MANUAL, supra note 14, § 6, at 11; see also id. § 6, at 8.

80 Vanita Gupta et al., supra note 79.

⁸¹ The burden of proof is a party's duty to prove a disputed assertion or charge in a legal proceeding. *Burden of Proof*, BLACK'S LAW DICTIONARY (11th ed. 2019).

⁸² E.g., Regents of Univ. of Cal. v. Bakke, 438 U.S. 265, 291 (1978) ("Racial and ethnic distinctions of any sort are inherently suspect and thus call for the most exacting judicial examination.").

83 See, e.g., Students for Fair Admissions, Inc. v. President & Fellows of Harvard Coll., 600 U.S. 181, 206–07 (2023); Rodriguez v. San Antonio Indep. Sch. Dist., 411 U.S. 1, 17 (1973).

⁸⁴ Letter from Cristin Hedman Sparks, Supervisory Att'y/Team Leader, Off. for Civil Rights, U.S. Dep't of Educ., to Stephen Harrell, Superintendent, Deer Park Indep. Sch. Dist. (Sept. 12, 2022) (OCR Case No. 06-21-1284), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/06211284-a.pdf.

⁸⁵ Letter from Angela Martinez-Gonzalez, Supervisory Gen. Att'y, Off. for Civil Rights, U.S. Dep't of Educ., to Rob Anderson, Superintendent, Boulder Valley Sch. Dist. (July 28, 2021) (OCR Case No. 08-21-1121), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/08211121-a.pdf (Note that while Title VI does not cover issues related to disability law, there is often overlap among federal laws in OCR's investigations.).

86 Title VI of the Civil Rights Act of 1964, 42 U.S.C. §§ 2000d to 2000d-7.

⁸⁷ 34 C.F.R. § 100.3(b)(2) (2023) ("A recipient, in determining the types of services, financial aid, or other benefits, or facilities which will be provided under any such program, or the class of individuals to whom, or the situations in which, such services, financial aid, other benefits, or facilities will be provided under any such program, or the class of individuals to be afforded an opportunity to participate in any such program, may not, directly or through contractual or other arrangements, utilize criteria or methods of administration which have the effect of subjecting individuals to discrimination because of their race, color, or national origin, or have the effect of defeating or substantially impairing accomplishment of the objectives of the program as respect individuals of a particular race, color, or national origin."); see *also* Cole, *supra* note 73, at 13.

⁸⁸ Memorandum from the U.S. Att'y Gen. to the Heads of Dep'ts & Agencies that Provide Fed. Fin. Assistance (July 14, 1994), https://www.justice.gov/archives/ag/attorney-general-july-14-1994-memorandum-use-

disparate-impact-standard-administrative-regulations (emphasis in original) ("Enforcement of the disparate impact provisions is an essential component of an effective civil rights compliance program. Individuals continue to be denied, on the basis of their race, color, or national origin, the full and equal opportunity to participate in or receive the benefits of programs assisted by Federal funds. Frequently discrimination results from policies and practices that are neutral on their face but have the effect of discriminating, Those [sic] policies and practices must be eliminated unless they are shown to be necessary to the program's operation and there is no less discriminatory alternative.").

⁸⁹ 34 C.F.R. § 100.3(b)(2); Cole, *supra* note 72, at 10 ("Section 602, as noted, directs agencies to promulgate regulations 'to effectuate' the antidiscrimination prohibition of Section 601 'consistent with achievement of the objectives of the statute.' And pursuant to that directive, all Cabinet-level federal funding agencies, along with many smaller agencies, have since issued rules and guidance under Title VI outlawing disparate impact discrimination."); Cole, *supra* note 18 ("In addition to regulations that prohibit intentional discrimination, ED and other agencies have adopted Title VI 'disparate impact' regulations that prohibit funding recipients from unjustified actions that have the effect of subjecting individuals to discrimination because of a protected characteristic. In other words, even if a recipient's actions are not intentionally discriminatory, they might still violate Title VI disparate impact regulations if their effect is discriminatory.").

- 90 Vanita Gupta et al., supra note 79; see also 34 C.F.R. § 100.3(b)(2).
- 91 TITLE VI LEGAL MANUAL, supra note 14, § 7, at 2.
- 92 34 C.F.R. § 100.3(a).
- 93 TITLE VI LEGAL MANUAL, supra note 14, § 7, at 3 (citing Lau v. Nichols, 414 U.S. 563, 568 (1974)).
- 94 S. Camden Citizens in Action v. N.J. Dep't of Env't Prot., 145 F. Supp. 2d 446, 483 (D.N.J.), *modified*, 145 F. Supp. 2d 505 (D.N.J.), *rev'd*, 274 F.3d 771 (3d Cir. 2001) ("[I]n a case involving alleged disparate impact discrimination in violation of Title VI, the plaintiffs bear the initial burden of establishing a *prima facie* case that a facially neutral practice has resulted in a racial disparity. The plaintiff must demonstrate, by a preponderance of the evidence, that the disputed practice detrimentally affects persons of a particular race to a greater extent than other races. 'It is not enough for the plaintiff merely to prove circumstances raising an inference of discriminatory impact at issue; [the plaintiff] must prove the discriminatory impact at issue.' In other words, Plaintiffs must prove that a facially neutral practice disparately and adversely impacts them, and that the disparate impact is causally linked to the contested practice. If the plaintiff meets this burden, then the burden shifts to the defendant to come forward with a 'substantial legitimate justification,' or a 'legitimate, nondiscriminatory reason,' for the contested practice. Finally, if the defendant is able to meet its rebuttal burden, the burden shifts back to the plaintiff to "establish either that the defendant overlooked an equally effective alternative with less discriminatory effects or that the proffered justification is no more than a pretext for racial discrimination." (alteration in original) (citations omitted)); see also TITLE VI LEGAL MANUAL, supra note 14, § 7, at 7.
 - ⁹⁵ See sources cited supra note 94.
- ⁹⁶ Larry P. ex rel Lucille P. v. Riles, 793 F.2d 969, 982 (9th Cir. 1984) ("Once a plaintiff has established a prima facie case, the burden then shifts to the defendant to demonstrate that the requirement which caused the disproportionate impact was required by educational necessity."); Elston v. Talladega Cnty. Bd. of Educ., 997 F.2d 1394, 1412 (11th Cir. 1993) ("[D]efendants attempting to meet the 'substantial legitimate justification' burden have commonly been required to demonstrate the 'educational necessity' of their practices, that is, to show that their challenged practices 'bear a manifest demonstrable relationship to classroom education.' . . . The Title VI regulations education cases tend not to explain explicitly what it means to show that a challenged practice has a 'manifest relationship to classroom education.' However, from consulting the way in which these cases analyze the 'educational necessity' issue, it becomes clear that what the cases are essentially requiring is that defendants show that the challenged course of action is demonstrably necessary to meeting an important educational goal. Such necessity is considered a substantial legitimate justification for the challenged practice.") (internal citations omitted); see also TITLE VI LEGAL MANUAL, supra note 14, § 7, at 7.

⁹⁷ Letter from Beth Gellman-Beer, Reg'l Dir., Off. for Civil Rights, U.S. Dep't of Educ., to Dorrell Green, Superintendent, Red Clay Consol. Sch. Dist. (Jan. 29, 2024) (OCR Complaint No. 03231373), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/03231373-a.pdf.

- ⁹⁸ Letter from Emily Frangos, Reg'l Dir., Off. for Civil Rights, U.S. Dep't of Educ., to Tricia McManus, Superintendent, Winston-Salem/Forsyth Cnty. Schs. (Sept. 20, 2023) (OCR Case No. 11-10-5002), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/11105002-a.pdf.
- ⁹⁹ TITLE VI LEGAL MANUAL, *supra* note 14, § 7, at 2 ("The disparate impact regulations ensure 'that public funds, to which all taxpayers of all races contribute, not be spent in any fashion which encourages, entrenches, subsidizes, or results in racial discrimination.'" (quoting H.R. Misc. Doc. No. 124, 88th Cong., 1st Sess. 3, 12 (1963))).
- 100 TITLE VI LEGAL MANUAL, supra note 14, § 7, at 2–3 (citing Tex. Dep't of Hous. & Cmty. Affs. v. Inclusive Cmtys. Project, Inc., 576 U.S. 519, 521 (2015)).
- ¹⁰¹ A prima facie case is a "legally required rebuttable presumption." *Prima Facie Case*, BLACK'S LAW DICTIONARY (11th ed. 2019). It is "a party's production of enough evidence to allow the fact-trier to infer the fact at issue and rule in the party's favor." *Id.*
 - ¹⁰² Title VI of the Civil Rights Act of 1964, 42 U.S.C. §§ 2000d to 2000d-7.
 - 103 About OCR, U.S. DEP'T OF EDUC.: OFF, FOR CIVIL RIGHTS.

https://www2.ed.gov/about/offices/list/ocr/aboutocr.html (May 24, 2023).

- 104 OFF. FOR CIVIL RIGHTS, U.S. DEP'T OF EDUC., CASE PROCESSING MANUAL (CPM) 5–6 (2022), https://www2.ed.gov/about/offices/list/ocr/docs/ocrcpm.pdf [hereinafter OCR CASE PROCESSING MANUAL]. See, e.g., Letter from Emily Frangos, Reg'l Dir., Off. for Civil Rights, U.S. Dep't of Educ., to Tricia McManus, Superintendent, Winston-Salem/Forsyth Cnty. Schs. (Sept. 20, 2023) (OCR Case No. 11-10-5002), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/11105002-a.pdf.
- ¹⁰⁵ "Dear Colleague" Letter from Kenneth L. Marcus, Deputy Assistant Sec'y for Enf't, Off. for Civil Rights, U.S. Dep't of Educ.(Sept. 13, 2004), https://www2.ed.gov/about/offices/list/ocr/religious-rights2004.html (explaining that although OCR does not enforce a ban on religious discrimination, it does utilize its authority under Title VI to prevent discrimination against groups who share racial, ethnic, or national origin characteristics that overlap with religion.).
- 106 Education and Title VI, U.S. DEP'T OF EDUC.: OFF. FOR CIVIL RIGHTS,
- https://www2.ed.gov/about/offices/list/ocr/docs/hq43e4.html (Apr. 24, 2023).
 - ¹⁰⁷ OCR CASE PROCESSING MANUAL, supra note 104, at 5-6.
 - ¹⁰⁸ *Id.* at 9.
 - ¹⁰⁹ *Id*.
 - ¹¹⁰ About OCR, supra note 103.
 - 111 OCR CASE PROCESSING MANUAL, supra note 104, at 10-11.
 - ¹¹² Id.
- ¹¹³ 34 C.F.R. § 100.6(b) (2023); Letter from Zachary Pelchat, Reg'l Dir., Off. for Civil Rights, U.S. Dep't of Educ., to Elvin Momon, Superintendent, Victor Valley Union High Sch. Dist., (Aug. 16, 2022) (OCR Case No. 09-14-5003), https://www2.ed.gov/about/offices/list/ocr/docs/investigations/more/09145003-a.pdf.
 - ¹¹⁴ OCR CASE PROCESSING MANUAL, supra note 104, at 28.
 - ¹¹⁵ *Id*.
 - ¹¹⁶ *Id.* at 29-31.
 - ¹¹⁷ Id. at 16.
 - ¹¹⁸ *Id.* at 16–17.
 - 119 Id. at 18.
 - ¹²⁰ *Id.* at 17–20.
 - 121 Id. at 20.
 - 122 *Id.* at 18.
 - ¹²³ *Id.* at 23–24.
 - 124 Id. at 23.
 - ¹²⁵ TITLE VI LEGAL MANUAL, supra note 14, § 3, at 1.
- ¹²⁶ OCR CASE PROCESSING MANUAL, *supra* note 104, at 18; OFF. FOR CIVIL RIGHTS, U.S. DEP'T OF EDUC., COMPLAINT PROCESSING PROCEDURES (2022), https://www2.ed.gov/about/offices/list/ocr/docs/complaints-how.pdf; see *also*, e.g., Bursch *ex rel*. T.B. v. Indep. Sch. Dist. 112, No. 19-CV-02414, 2022 WL 3030561 (D. Minn. Aug. 1, 2022), *amended by* 620 F. Supp.3d 818 (D. Minn. 2022); Zeno v. Pine Plains Cent. Sch. Dist., 702 F.3d 655 (2d Cir. 2012).

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127 OCR CASE PROCESSING MANUAL, supra note 104, at 22.

128 | d.

129 | d. at 23.

130 | d.

131 Naaz Modan, OCR Changes Approach to Complaints Amid Record High Volume, K-12 DIVE (Apr. 14, 2023), https://www.k12dive.com/news/OCR-changes-approach-complaints-record-high-volume/647699/.

132 | d.

133 OCR CASE PROCESSING MANUAL, supra note 104, at 13-14.

134 | d. at 14.

135 | d.

136 TITLE VI LEGAL MANUAL, supra note 14, § 3, at 6.

137 | d.

138 | d.

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140 532 U.S. 275, 280-82 (2001) ("[W]e must assume for purposes of deciding this case that regulations
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- ¹⁴⁰ 532 U.S. 275, 280–82 (2001) ("[W]e must assume for purposes of deciding this case that regulations promulgated under § 602 of Title VI may validly proscribe activities that have a disparate impact on racial groups, even though such activities are permissible under § 601.").
- 141 Memorandum from the Assistant Att'y Gen. to the Heads of Departmental Agencies, Gen. Counss. & Civil Rights Dirs. (Oct. 26, 2001), http://www.justice.gov/crt/about/cor/lep/Oct26Memorandum.php; see Sandoval, 532 U.S. at 281 (assuming for purposes of deciding the case "that regulations promulgated under § 602 of Title VI may validly proscribe activities that have a disparate impact on racial groups"); see also TITLE VI LEGAL MANUAL, supra note 14, § 7, at 5.
- 142 TITLE VI LEGAL MANUAL, *supra* note 14, § 7, at 4 ("Federal funding agencies play a vital role in enforcing the prohibition on disparate impact discrimination through complaint investigations, compliance reviews, and guidance on how to comply with Title VI.").
- ¹⁴³ OCR FISCAL YEAR 2023 REPORT, *supra* note 13, at 6, 9; Naaz Modan, *Federal Discrimination Complaints Continued Upswing in 2023 With No Signs of Slowing*, K–12 DIVE (Feb. 22, 2024), https://www.k12dive.com/news/discrimination-complaints-increased-again-in-2023-no-signs-of-slowing-in-2/708187/.

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^{144} Modan, supra note 143. ^{145} OCR FISCAL YEAR 2023 REPORT, supra note 13, at 9. ^{146} Id. at 16 fig.5. ^{147} Id. ^{148} Id.
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149 Rene Marsh & Katie Lobosco, *Exclusive: Education Secretary Says Federal Funds Are at Stake If Schools Fail to Combat Antisemitism and Islamophobia*, CNN (Nov. 7, 2023, 7:00 AM), https://www.cnn.com/2023/11/07/politics/cardona-antisemitism-schools-funding/index.html; see also Modan, supra note 143 ("Biden's FY 2024 funding proposal called for a 27% increase in funding for OCR, which [Assistant Secretary for Civil Rights Catherine] Lhamon said would allow the agency to hire 150 additional staff. Biden has yet to release a FY 2025 proposal.").

¹⁵⁰ Marsh & Lobosco, supra note 149.

151 NAT'L ASS'N OF SCH. PSYCHS., READY TO LEARN, EMPOWERED TO TEACH: GUIDING PRINCIPLES FOR EFFECTIVE SCHOOLS AND SUCCESSFUL STUDENTS 5 (3rd ed. 2020); see also Timothy Konold et al., Racial/Ethnic Differences in Perceptions of School Climate and Its Association with Student Engagement and Peer Aggression, 46 J. of YOUTH & ADOLESCENCE 1289, 1298 (2017) ("[A] positive school climate characterized by high disciplinary structure, supportive teacher-student relationships, and high academic expectations for students was associated with higher levels of student engagement and lower levels of peer aggression."); Esha Vaid et al., The Impact of School Climate in Social-normative Expectations in Low and High SES Schools, 51 J. of CMTY. PSYCH. 219, 229 (2023) ("The current results regarding school climate's influence on [social-normative expectations (SNEs)] were partially consistent with literature suggesting school climate may moderate the adverse effects of race- and [socioeconomic status (SES)]-related stressors.") (citation omitted); Ruth Berkowitz, School Matters: The Contribution of Positive School Climate to Equal Educational Opportunities Among Ethnocultural Minority Students, 54 Youth & Socy 372, 386 (2022) ("The findings suggest that schools' prioritizing safety, positive relationships, cultural tolerance and inclusion, and additional nonacademic

goals not only ensures fulfillment of children's rights to special protection and care, freedom from discrimination, and full extraction of their natural abilities and talent, but also promotes their academic success and equal opportunity for education."); AMY STUART WELLS, LAUREN FOX & DIANA CORDOVA-COBO, THE CENTURY FOUND., HOW RACIALLY DIVERSE SCHOOLS AND CLASSROOMS CAN BENEFIT ALL STUDENTS 14 (2016), https://production-tcf.imgix.net/app/uploads/2016/02/09142501/HowRaciallyDiverse_AmyStuartWells-11.pdf ("A growing body of research suggests that the benefits of K-12 school diversity indeed flow in all directions—to white and middle-class students as well as to minority and low-income pupils.").

- ¹⁵² ROBINSON, BEACH & MIN, *supra* note 5, at 6 ("College and career readiness for high school graduates is defined as the ability to succeed in a job or the first year of higher education without requiring remedial assistance."). This success requires both content knowledge and soft skills, such as problem-solving and collaboration, to put those content skills to use. *Id.* at 11.
- ¹⁵³ Univ. of Va. Sch. of Law, Launch of the Education Rights Institute, Keynote Address with Na'ilah Suad Nasir, YouTube (Oct. 18, 2023) (keynote address of Na'ilah Suad Nasir), <a href="https://www.voutube.com/watch?v="https://w
 - ¹⁵⁴ ROBINSON, BEACH & MIN, supra note 5.
- ¹⁵⁵ See, e.g., Educ. Rts. Inst., *Opportunity Gaps v. Achievement Gaps: Toward a High-Quality Education*, YouTube (Mar. 5, 2024), https://www.youtube.com/watch?v=YvejU6Skzks; Educ. Rts. Inst., *Introducing the Education Rights Institute*, YouTube (Mar. 5, 2024), https://www.youtube.com/watch?v=GZiGkVrpgKw.



The Education Rights Institute (ERI) aims to expand the opportunity for students in the United States to enjoy a high-quality education that empowers them to be college and career ready and engaged civic participants.

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